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U.S. DISTRICT COURT
EASTERN DISTRICT
OF NEW YORK

UNITED STATES DISTRICT COURT
EASTERN DISTRICT OF NEW YORK

RUBEN J. GUERRERO, individually and on behalf of all others
similarly situated,

CV 16 3011

COLLECTIVE ACTION
COMPLAINT

JURY TRIAL
DEMANDED

DONNELLY, J.

LEVY, M.J.

ALL-LOCK & GLASS SERVICE, INC., MARK HEITNER and
ROBERT FAUSINI, as individuals,

Defendants.

X

1. Plaintiff, RUBEN J. GUERRERO, individually and on behalf of all others similarly situated, (hereinafter referred to as "Plaintiff"), by his attorneys at Helen F. Dalton & Associates, P.C., alleges, upon personal knowledge as to himself and upon information and belief as to other matters, as follows:

PRELIMINARY STATEMENT

2. Plaintiff, RUBEN J. GUERRERO, individually and on behalf of all others similarly situated, through undersigned counsel, brings this action against ALL-LOCK & GLASS SERVICE, INC., MARK HEITNER and ROBERT FAUSINI, as individuals, (hereinafter referred to as "Defendants"), to recover damages for egregious violations of state and federal wage and hour laws arising out of Plaintiff's employment at ALL-LOCK & GLASS SERVICE, INC. located at 911 38th Avenue, Long Island City, NY 11101.
3. Plaintiff RUBEN J. GUERRERO was employed by Defendants from in or around 2000 until in or around January 6, 2016.

4. As a result of the violations of Federal and New York State labor laws delineated below, Plaintiff seeks compensatory damages and liquidated damages in an amount exceeding \$100,000.00. Plaintiff also seeks interest, attorneys' fees, costs, and all other legal and equitable remedies this Court deems appropriate.

JURISDICTION AND VENUE

5. This Court has subject matter jurisdiction over Plaintiff's federal claims pursuant to the FLSA, 29 U.S.C. §216 and 28 U.S.C. §1331.
6. This Court has supplemental jurisdiction over Plaintiff's state law claims pursuant to 28 U.S.C. §1337.
7. Venue is proper in the EASTERN District of New York pursuant to 28 U.S.C. §1331(b) because a substantial part of the events or omissions giving rise to the claims occurred in this district.
8. This Court is empowered to issue a declaratory judgment pursuant to 28 U.S.C. §§2201 & 2202.

THE PARTIES

9. Plaintiff RUBEN J. GUERRERO resides at 33-15 9th Street. Astoria, NY 11106, and was employed by Defendants at ALL-LOCK & GLASS SERVICE, INC. from in or around 2000 until in or around January 6, 2016.
10. Upon information and belief, Defendant, ALL-LOCK & GLASS SERVICE, INC., is a corporation organized under the laws of New York with a principal executive office at 911 38th Avenue, Long Island City, NY 11101.
11. Upon information and belief, Defendant, ALL-LOCK & GLASS SERVICE, INC., is a corporation authorized to do business under the laws of New York.
12. Upon information and belief, Defendant MARK HEITNER owns and/or operates ALL-LOCK & GLASS SERVICE, INC.
13. Upon information and belief, Defendant MARK HEITNER is the Chairman of the Board of ALL-LOCK & GLASS SERVICE, INC.
14. Upon information and belief, Defendant MARK HEITNER is the Chief Executive Officer of ALL-LOCK & GLASS SERVICE, INC.
15. Upon information and belief, Defendant MARK HEITNER is an agent of ALL-LOCK & GLASS SERVICE, INC.

16. Upon information and belief, Defendant MARK HEITNER has power over personnel decisions at ALL-LOCK & GLASS SERVICE, INC.
17. Upon information and belief, Defendant MARK HEITNER has power over payroll decisions at ALL-LOCK & GLASS SERVICE, INC.
18. Defendant MARK HEITNER has the power to hire and fire employees, establish and pay their wages, set their work schedule, and maintains their employment records at ALL-LOCK & GLASS SERVICE, INC.
19. During all relevant times herein, Defendant MARK HEITNER was Plaintiff's employer within the meaning of the FLSA and NYLL.
20. Upon information and belief, Defendant ROBERT FAUSINI owns and/or operates ALL-LOCK & GLASS SERVICE, INC.
21. Upon information and belief, Defendant ROBERT FAUSINI is the Chairman of the Board of ALL-LOCK & GLASS SERVICE, INC.
22. Upon information and belief, Defendant ROBERT FAUSINI is the Chief Executive Officer of ALL-LOCK & GLASS SERVICE, INC.
23. Upon information and belief, Defendant ROBERT FAUSINI is an agent of ALL-LOCK & GLASS SERVICE, INC.
24. Upon information and belief, Defendant ROBERT FAUSINI has power over personnel decisions at ALL-LOCK & GLASS SERVICE, INC.
25. Upon information and belief, Defendant ROBERT FAUSINI has power over payroll decisions at ALL-LOCK & GLASS SERVICE, INC.
26. Defendant ROBERT FAUSINI has the power to hire and fire employees, establish and pay their wages, set their work schedule, and maintains their employment records at ALL-LOCK & GLASS SERVICE, INC.
27. During all relevant times herein, Defendant ROBERT FAUSINI was Plaintiff's employer within the meaning of the FLSA and NYLL.
28. On information and belief, ALL-LOCK & GLASS SERVICE, INC. is, at present and has been at all times relevant to the allegation in the complaint, an enterprise engaged in interstate commerce within the meaning of the FLSA in that the entity (i) has had employees engaged in commerce or in the production of goods for commerce, and handle, sell or otherwise work on goods or material that have been moved in or

produced for commerce by any person; and (ii) has had an annual gross volume of sales of not less than \$500,000.00.

FACTUAL ALLEGATIONS

29. Plaintiff RUBEN J. GUERRERO was employed by Defendants from in or around 2000 until in or around January 6, 2016.
30. During Plaintiff RUBEN J. GUERRERO'S employment by Defendants at ALL-LOCK & GLASS SERVICE, INC., Plaintiff's primary duties were as an auto glass technician who made, repaired, and installed glass, and performing other miscellaneous duties from in or around 2000 until in or around January 6, 2016.
31. Plaintiff RUBEN J. GUERRERO was paid by Defendants approximately \$12.00 per hour from in or about 2000 until in or around January 6, 2016.
32. Although Plaintiff RUBEN J. GUERRERO worked approximately 45 (forty-five and a quarter) hours or more per week during the period of his employment by Defendants from in or around 2000 until in or around January 6, 2016, Defendants did not pay Plaintiff time and a half (1.5) for hours worked over forty (40), a blatant violation of the overtime provisions contained in the FLSA and NYLL.
33. Upon information and belief, Defendants willfully failed to post notices of the minimum wage and overtime wage requirements in a conspicuous place at the location of their employment as required by both the NYLL and the FLSA.
34. Upon information and belief, Defendants willfully failed to keep payroll records as required by both NYLL and the FLSA.
35. As a result of these violations of Federal and New York State labor laws, Plaintiff seeks compensatory damages and liquidated damages in an amount exceeding \$100,000.00. Plaintiff also seeks interest, attorneys' fees, costs, and all other legal and equitable remedies this Court deems appropriate.

COLLECTIVE ACTION ALLEGATIONS

36. Plaintiff brings this action on behalf of himself and other employees similarly situated as authorized under the FLSA, 29 U.S.C. § 216(b). The employees similarly situated are:

37. Collective Class: All persons who are or have been employed by the Defendants as auto glass technicians, laborers, cleaners, or other similarly titled personnel with substantially similar job requirements and pay provisions, who were performing the same sort of functions for Defendants, other than the executive and management positions, who have been subject to Defendants' common practices, policies, programs, procedures, protocols and plans including willfully failing and refusing to pay required minimum wages, overtime wages, and spread of hours compensation.
38. Upon information and belief, Defendants employed between 40 and 50 employees within the past three years subjected to similar payment structures.
39. Upon information and belief, Defendants suffered and permitted Plaintiff and the Collective Class to work more than forty hours per week without appropriate overtime compensation.
40. Defendants' unlawful conduct has been widespread, repeated, and consistent.
41. Upon information and belief, Defendant had knowledge that Plaintiff and the Collective Class performed work requiring overtime pay.
42. Defendants' conduct as set forth in this Complaint, was willful and in bad faith, and has caused significant damages to Plaintiff and the Collective Class.
43. Defendants are liable under the FLSA for failing to properly compensate Plaintiff and the Collective Class, and as such, notice should be sent to the Collective Class. There are numerous similarly situated current and former employees of Defendants who have been denied overtime pay in violation of the FLSA and NYLL, who would benefit from the issuance of a Court-supervised notice of the present lawsuit, and the opportunity to join the present lawsuit. Those similarly situated employees are known to Defendants and are readily identifiable through Defendants' records.
44. The questions of law and fact common to the putative class predominate over any questions affecting only individual members.
45. The claims of Plaintiff are typical of the claims of the putative class.
46. Plaintiff and his counsel will fairly and adequately protect the interests of the putative class.
47. A class action is superior to other available methods for the fair and efficient adjudication of this controversy.

FIRST CAUSE OF ACTION

Overtime Wages Under The Fair Labor Standards Act

48. Plaintiff re-alleges and incorporates by reference all allegations in all preceding paragraphs.
49. Plaintiff has consented in writing to be a party to this action, pursuant to 29 U.S.C. §216(b).
50. At all times relevant to this action, Plaintiff was engaged in commerce or the production of goods for commerce within the meaning of 29 U.S.C. §§206(a) and 207(a).
51. At all times relevant to this action, Defendants were employers engaged in commerce or the production of goods for commerce within the meaning of 29 U.S.C. §§206(a) and 207(a).
52. Defendants willfully failed to pay Plaintiff overtime wages for hours worked in excess of forty (40) hours per week at a wage rate of one and a half (1.5) times the regular wage, to which Plaintiff was entitled under 29 U.S.C. §§206(a) in violation of 29 U.S.C. §207(a)(1).
53. Defendants' violations of the FLSA as described in this Complaint have been willful and intentional. Defendants have not made a good effort to comply with the FLSA with respect to the compensation of the Plaintiff.
54. Due to Defendants' FLSA violations, Plaintiff is entitled to recover from Defendants, jointly and severally, his unpaid wages and an equal amount in the form of liquidated damages, as well as reasonable attorneys fees and costs of the action, including interest, pursuant to the FLSA, specifically 29 U.S.C. §216(b).

SECOND CAUSE OF ACTION

Overtime Wages Under New York Labor Law

55. Plaintiff re-alleges and incorporates by reference all allegations in all preceding paragraphs.
56. At all times relevant to this action, Plaintiff was employed by Defendants within the meaning of New York Labor Law §§2 and 651.

57. Defendants failed to pay Plaintiff overtime wages for hours worked in excess of forty hours per week at a wage rate of one and a half (1.5) times the regular wage to which Plaintiff was entitled under New York Labor Law §652, in violation of 12 N.Y.C.R.R. 137-1.3.
58. Due to Defendants' New York Labor Law violations, Plaintiff is entitled to recover from Defendants, jointly and severally, his unpaid overtime wages and an amount equal to their unpaid overtime wages in the form of liquidated damages, as well as reasonable attorneys' fees and costs of the action, including interest in accordance with NY Labor Law §198(1-a).

THIRD CAUSE OF ACTION

Violation of the Notice and Recordkeeping Requirements of the New York Labor Law

59. Plaintiff re-alleges and incorporates by reference all allegations in all preceding paragraphs.
60. Defendants failed to provide Plaintiff with a written notice, in English and in Spanish and/or Portuguese (Plaintiff's primary language), of his rate of pay, regular pay day, and such other information as required by NYLL §195(1).
61. Defendants are liable to Plaintiff in the amount of \$2,500.00 together with costs and attorneys' fees.

FOURTH CAUSE OF ACTION

Violation of the Wage Statement Requirements of the New York Labor Law

62. Plaintiff re-alleges and incorporates by reference all allegations in all preceding paragraphs.
63. Defendants failed to provide Plaintiff with wage statements upon each payment of wages, as required by NYLL §195(3)
64. Defendants are liable to Plaintiff in the amount of \$2,500.00 together with costs and attorneys' fees.

PRAYER FOR RELIEF

Wherefore, Plaintiff respectfully requests that judgment be granted:

- a. Declaring Defendants' conduct complained herein to be in violation of the Plaintiff's rights under the FLSA, the New York Labor Law, and its regulations;
- b. Awarding Plaintiff unpaid overtime wages;
- c. Awarding Plaintiff liquidated damages pursuant to 29 U.S.C. §216 and New York Labor Law §§198(1-a), 663(1);
- d. Awarding Plaintiff prejudgment and post-judgment interest;
- e. Awarding Plaintiff the costs of this action together with reasonable attorneys' fees; and
- f. Awarding such and further relief as this court deems necessary and proper.

DEMAND FOR TRIAL BY JURY

Pursuant to Rule 38(b) of the Federal Rules of Civil Procedure, Plaintiff demands a trial by jury on all questions of fact raised by the complaint.

Dated: This 6th day of June of 2016.



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